I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: TITLE IN ORGANIZATION: ADDRESS OF PERSON SIGNING:

Signature & Stute

Regina H. White Director of Office Sponsored Programs University of Vermont, Burlington, VT 05405

MAR 2 0 2000

Date



Attorney Docket No. V0139/7038. (HCL)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR

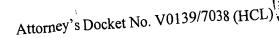
the specification	of which is attached hereto unles	ss the following is c	hecked:	
Internation	on January 4, 2000, as United Sta onal Application No.	(Include	Series Code)	orney docket No
I hereby state th specification, in	at I have reviewed and understand cluding the claims, as amended by	the contents of the any amendment referr	above ider ed to abov	ntified 7e.
I acknowledge the application in ac	duty to disclose information which cordance with Title 37, Code of F	ch is material to the ederal Regulations, §	examinati 1.56.	on of this -
\$365(b) of any for any PCT Internati listed below and	reign priority benefits under Tit reign application(s) for patent or onal application designating at 1 have also identified below any fo T International application havin is claimed:	: inventor's certificated as tone country other reign application for	ate, or sear than the patent of	ction 365(a) of E United States r inventor's
Prior Foreign PCT 365(a),(b):	International Application(s) and	any priority claims u	nder 35 U Priori Claime	ıty
(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	YES	NO
(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	[] YES	NO []
(Number)	(Country-if PCT, so indicate) (DD/MM/YY Filed)	[] YES	[] NO
I hereby claim th	te benefit under Title 35, United cation(s) listed below:	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	of any Un	ited States

January 4, 1999 60/114,743 (Application Number) (filing date)

January 4, 1999 60/114,745 (filing date) (Application Number)

I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s), or \$365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

1 429344.1



Applicant:

Nicholas H. Heintz and Christopher R. Houchens

Serial No.: Filed:

09/477,392

For:

RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR

DECLARATION BY A NON-INVENTOR SUPPORTING A CLAIM BY ANOTHER FOR SMALL ENTITY STATUS (NONPROFIT ORGANIZATION)

I hereby declare that I am making this verified statement to support a claim by for small entity status for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR by inventor(s) Nicholas H. Heintz and Christopher R. Houchens, described in

application serial no. 09/477,392, filed January 4, 2000.

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION:

University of Vermont and State Agricultural College

ADDRESS OF ORGANIZATION:

Burlington, Vermont 05405

TYPE OR ORGANIZATION:

[X] - UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the above-identified invention.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

(Application No.)

(filing date)

(status-patented, pending, abandoned)..

(Application No.)

(filing date)

(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)

(U.S. Ser. No.)

(PCT filing date) (status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf 17,528 George L. Greenfield 17,756 Stanley Sacks 19,000 Edward F. Perlman 28,105 Lawrence M. Green 29,384 Steven J. Henry 27,900 Therese A. Hendricks 30,389 Edward R. Gates 31,616 William R. McClellan 29,409 Ronald J. Kransdorf 20,004 M. Lawrence Oliverio 30,915 Jason M. Honeyman 31,624 James H. Morris 34,681 Peter C. Lando 34,654 Gary s. Engelson 35,128	Richard F. Giunta Douglas R. Wolf Elizabeth R. Plumer Timothy J. Oyer John N. Anastasi Helen C. Lockhart James M. Hanifin, Jr. Christopher S. Schultz Paul D. Sorkin John R. Van Amsterdam Matthew B. Lowrie Michael G. Verga	37,765 39,248	William G. Gosz Neil P. Ferraro Julie A. Beberman Lisa E. Winsor Robert Plotkin Joseph Teja, Jr.	r. 41,316 40,886 37,482 43,299 43,069 42,147 38,471 27,787 39,188 40,906 44,405 -43,861 P-45,157 P-45,128 P-45,021
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Address all telephone calls to Helen C. Lockhart at telephone no. (617) 720-3500. Address all correspondence to:

Helen C. Lockhart c/o Wolf, Greenfield & Sacks, P.C., Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature

Full name of sole or first inventor Nicholas H. Heintz

Citizenship Residence

US

(City and State, or City and Country for non-U.S. residence)

Jericho, Vermont

Post Office Address 302 Mill Brook Road, Jericho, Vermont 05465

Inventor's signature

Date

Full name of second or joint inventor Christopher R. Houchens

Citizenship

Residence

(City and State, or City and Country for non-U.S. residence)

Baltimore, Maryland

Post Office Address 4135 Roland Avenue, Baltimore, MD 21211

2

Attorney Docket No. V0139/7038 (HCL)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR

	he specification of which is attached					following	is	checked:		
		طم تامان		attached	hereto	unless	tne	101104243		
the	he specification o	of Mulcu	11 13			-		-lication	No.	09/477,

was filed on January 4, 2000, as United States Application No. 09/477,392 or __, bearing attorney docket No. (X) (if applicable). International Application No. VO139/7038, and was amended on _

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, \$119(a)-(d) or I hereby claim foreign priority benefits under Title 35, United States Code, \$119(a)-(d) or \$365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing data before that of the application certificate or PCT International application having a filing data before that of the application listed below and have also identified below any loreign application for patent of inventor's extriction of the application having a filing date before that of the application contributes and the application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. \$\$119 and

Prior Foreign PCT International Application(5) and	Claimed
365(a), (b):	[1 []
	/YY Filed) YES NO
(Number) (Country-if PCT, so indicate) (DD/MM/	[] []
	4/YY Filed) YES NO
(Number) (Country-if PCT, so indicate) (DD/MM.	(1 []
	M/YY Filed) YES NO
(Number)	lode, \$119(e) of any United States

I hereby claim the benefit under Title 35, United States Code, \$119(e) of any United States provisional application(s) listed below:

January 4, 1999 60/114,743 (filing date) (Application Number) January 4, 1999 (filing date) 60/114,745 (Application Number)

I hereby claim the benefit under Title 35, United States Code, \$120 of any United States I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s), or \$365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the application is not disclosed in the prior United States or PCT International application in the application is not disclosed in the prior United States Code S112 I acknowledge the application is not disclosed in one prior united states or per international application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56 which become available between the filling date of the prior and the actional or nome. which became available between the filing date of the prior application and the national or PCT International filing date of this application:

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ZUUU II.JO FR A RECIME LEFT ADUCATOR L

(Application No.)

(filing date)

(status-patented, pending, abandoned)

(Application No.)

(filing date)

(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT filing date) (status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

transact all bear		_	35,164	Robert A. Sara	40,886
	17.528	Peter J. Gordon		Robert M. Abrahamse	37,482
David Wolf	766	Dandy J. PILLERGE	26 140	Tuan D. Altkovons	43,299
George L. Greenfield		Richard F. Giunta		Michele J. Young	
George II. Gara		RICHARD Wolf		Edward Russavage	43,069
Stanley Sacks	28,105	Douglas R. Wolf	36,637	Edward Russavage	42,147
Edward F. Perlman	00 304	Elizabeth R. Plumer	26 628	Alan B. Sherr	38,471
Lawrence M. Green	0.00	Timothy J. Oyer	77 765	I John C. Gorecas	27,787
Charge J. Henry	202	TODO N. ANGSLOSE	37,700	William G. Gosz	
Therese A. Hendricks	30,385	Helen C. Lockhart	39,248	Neil P. Ferraro	39,186
Therese A	31,616	Helen C. Bookfin, Jr.	38,213	Nell F. Poberman	40,906
Edward R. Gates	29,409	James M. Hanifin, Jr.	37,929	Julie A. Beberman	44,405
William R. McClellan	20.004	Christopher S. Schultz	20 026	Itisa E. Winson	43,861
nanald J. Kransdori			40 212	Superc Lightin	P-45,157
M Panteuce OffAsiro	24 624	John R. Van Amsterdam	90,220	Joseph Teja, Jr.	
Jason M. Honeyman			38,220	Alan W. Steele	p-45,128
Jason M. Morris			39,410	Alan W. Dowers	P-45,021
James H. Morris	34,654	michael G. Verga	36,904	Jeffrey B. Powers	
Peter C. Lando	25 178				
Gary s. Engelson	33, 120	٠. ·		(617) 720-3500.	Address all

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Date

Date

Full name of sole or first inventor Nicholas H. Heintz

Citizenship

(City and State, or City and Country for non-U.S. residence) Jericho, Vermont

Post Office Address 302 Mill Brook Road, Jericho, Vermont 05465

Full name of second or joint inventor Christopher R. Houchens

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